

ATTORNEY DOCKET NO. 04156.0022U1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
	)	
NAGEL, Wulf	)	Art Unit: 1753
	)	
International Application No. PCT/EP2004/10916	)	Examiner: unassigned
U.S. Application No. 10/573,864	)	
	)	
International Filing Date: September 30, 2004	)	Confirmation No. 7070
	)	
For: For: PHOTOVOLTAIC ELEMENT	)	

COMMUNICATION

Mail Stop PCT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859

November 29, 2006

Sir:

Enclosed is a copy of the Filing Receipt for the above-referenced application as well as the Notice of Acceptance indicating the name of the inventor as Wolf Nagel. Please note that **the inventor's correct first name is Wulf (not Wolf)**. The correct name is indicated on the copies of the enclosed documents.

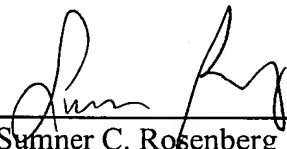
The applicants' attorney respectfully requests that the name of the inventor be corrected to read **Wulf Nagel**.

**ATTORNEY DOCKET NO. 04150.0022U1**  
**APPLICATION NO. 10/573,864**

No fee is believed to be due; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

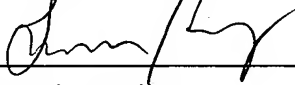
NEEDLE & ROSENBERG, P.C.

  
\_\_\_\_\_  
Sumner C. Rosenberg  
Registration No. 28,753

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859  
(678) 420-9300 Phone  
(678) 420-9301 Fax

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence, including any items indicated as attached or included, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

  
\_\_\_\_\_  
Sumner C. Rosenberg

11/29/06  
\_\_\_\_\_  
Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/573,864	05/05/2006	1753	515	04156.0022U1	6	16	1

RECEIVED

CONFIRMATION NO. 7070

23859  
NEEDLE & ROSENBERG, P.C.  
SUITE 1000  
999 PEACHTREE STREET  
ATLANTA, GA 30309-3915

NOV 28 2006

NEEDLE &amp; ROSENBERG

## FILING RECEIPT



\*OC000000021318287\*

Date Mailed: 11/22/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Wulf  
Wulf Nagel, Koln, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 23859.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/10916 09/30/2004

## Foreign Applications

GERMANY 103 45 736.4 10/01/2003

If Required, Foreign Filing License Granted: 11/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/573,864**

Projected Publication Date: 03/01/2007

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

SCR/MJC

Needle & Rosenberg

DOCKETED

By KLA Date 11/28/06

Reviewed WJC 11-29-06

Scanned

Name/Date

**Title**

Photovoltaic element

**Preliminary Class**

136

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and **does not eliminate** the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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#### **NOT GRANTED**

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U.S. APPLICATION NUMBER NO. 10/573,864	FIRST NAMED APPLICANT Wolf Nagel <i>Wulf</i>	ATTY. DOCKET NO. 04156.0022U1		
		INTERNATIONAL APPLICATION NO. PCT/EP04/10916		
		<table border="1"> <tr> <td>I.A. FILING DATE 09/30/2004</td> <td>PRIORITY DATE 10/01/2003</td> </tr> </table>	I.A. FILING DATE 09/30/2004	PRIORITY DATE 10/01/2003
I.A. FILING DATE 09/30/2004	PRIORITY DATE 10/01/2003			

23859  
 NEEDLE & ROSENBERG, P.C.  
 SUITE 1000  
 999 PEACHTREE STREET  
 ATLANTA, GA 30309-3915

CONFIRMATION NO. 7070

371 ACCEPTANCE LETTER



\*OC000000021318288\*

Date Mailed: 11/22/2006

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>05/05/2006</u>	<u>05/05/2006</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- o Indication of Small Entity Status
- o Copy of the International Application filed on 03/29/2006
- o English Translation of the IA filed on 03/29/2006
- o Copy of the International Search Report filed on 03/29/2006
- o Preliminary Amendments filed on 03/29/2006
- o Information Disclosure Statements filed on 03/29/2006
- o Oath or Declaration filed on 05/05/2006
- o U.S. Basic National Fees filed on 03/29/2006
- o Priority Documents filed on 03/29/2006
- o Power of Attorney filed on 05/05/2006

Needle & Rosenberg	
By <u>12/18/06</u>	DOCKETED <u>11/28/06</u>
Reviewed _____	Date _____
Scanned _____	Name/Date _____

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)